

Firm Name: **EisnerAmper LLP**
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B
 Engagement: **NJ Transit P.O. #B-51389-003**
 For Quarter Ending: **12/31/2020**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	
9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$17,054,969.56 Henderson Substation (NJT00766) - \$13,953,157.55 Bayhead Substation (NJT00768) - \$5,590,337.75 ROC Unit Substation (NJT01113) - \$8,448,267.50	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	

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12.	Expected Contract End Date/Time Period	September 2021	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>Conducted a site visit for contract 16-035X (ROC) on October 14, 2020 to observe work activities. Conduct a site visit and walkthrough for contract 16-049X (Hoboken) and 17-053X (Henderson) on October 26, 2020. Participated, via conference call, progress meeting #27 on October 1, 2020 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #29 on October 29, 2020 related to contract 16-035X (ROC). Participated via conference call, progress meeting #46 and #44 on November 4, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #30 on November 12, 2020 related to contract 16-035X (ROC). Participated via conference call, progress meeting #47 and #45 on November 18, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Held conference call on November 18, 2020 with NJT CP and CM regarding contract 16-035X (ROC) and resident CM. Participated, via conference call, progress meeting #19 on December 1, 2020 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #48 and #46 on December 2, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #31 on December 3, 2020 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #20 on December 15, 2020 related to contract 17-026X (Bay Head). Conduct a site visit for contract 16-049X (Hoboken) on December 3, 2020. Held a conference call with CP on December 8, 2020 regarding follow-up on the PRINTS ID reports.</p> <p>Held a conference call with Naik for contract 17-007 (CMS) regarding safety procedures on December 10, 2020. Participated via conference call, progress meeting #49 and #47 on December 16, 2020 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Held a conference call with NJT IAD regarding protocols for document requests on December 17, 2020. Participated, via conference call, progress meeting #32 on December 17, 2020 related to contract 16-035X (ROC). Participated, via conference call, on contract 16-049X (Hoboken) pencil payment application reconciliation on December 23, 2020.</p>	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #44 through #49 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #42 through #47 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #27 through #31 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #19 through #20 related to Contract No. 17-026X (Bay Head). Received various DBE forms for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head). Received background and relationship mapping reports for various individuals involved in the Substation project. Received various payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson) and 16-035X (ROC). Received NPC approval packages related to Contract 16-049X (Hoboken) and 17-053X (Henderson). Received various construction documents including Submittal logs, RFI logs, look ahead schedules and daily inspection reports for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Continued testing of procurement files related Contracts No. 17-026X (Bay Head) and 17-007 (Naik). Performed invoice testing and demolition/waste disposal testing related to Contract No. 17-053X (Henderson). Performed invoice testing related to Contracts No. 17-007 (Naik) and 16-049X (Hoboken). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X) and PKF Marks (Contract No. 17-026X) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	On March 9, 2020, Governor Phil Murphy declared a State of Emergency and a Public Health Emergency, effective immediately, to ramp up New Jersey's efforts to contain the spread of COVID-19. This order was extended on April 7, May 6, June 4, July 2, August 1, August 27, September 25, October 24, November 22 and December 21. Based on our communications and monitoring, this does not appear to have affected the project during this quarter.	

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21.	Provide details on any other items of note that have occurred in the past quarter	<p>For contract no. 16-035X (ROC), during a site visit held October 14, 2020, the IOM became aware that there were unknown underground water mains in conflict with the location of foundation piles. This has caused a temporary work stoppage to determine the best alternative design/ work around.</p> <p>For contract no. 17-026X (Bay Head), on May 11, 2020, the Borough of Bay Head filed an Order to Show Cause and Complaint in Ocean County Superior Court. On May 15, 2020, NJ TRANSIT was served with an Order, preliminarily enjoining NJ TRANSIT from performing any construction or land disturbance associated with the construction of Bay Head Substation. Effective on August 31, 2020, NJ TRANSIT provided a suspension of work notification for this contract. On November 6, 2020, the injunction was lifted, and, on November 9, 2020, PKF Mark III, Inc. resumed project activities.</p>	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	March 31, 2021

State of New Jersey
Department of Treasury
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Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

Professional	Hours
David A. Cace	1.50
Elliott Lee	16.20
Timothy Van Noy	11.75
Maureen Egan	24.80
Nancy Pham	82.45
Jeannelle Robinson	13.60
Marisol Gonzalez	30.70
Ken Brzozowski*	2.00
Elwood Hill*	3.50
Courtney Meizinger*	10.00
Stephanie Blue*	3.81
Subtotal_IOM Services for the Substations Program	200.31
Elliott Lee	2.90
Timothy Van Noy	2.10
Maureen Egan	0.60
Nancy Pham	44.20
Ken Brzozowski*	0.50
Elwood Hill*	1.50
Courtney Meizinger*	1.00
Stephanie Blue*	1.14
Subtotal_Rev. 1 – Substation Program: Construction Management Services	53.94
Total	254.25

* Member of EisnerAmper's DBE firm.