

Firm Name: RSM US LLP
 Engagement: Long Slip Fill and Rail Enhancement - 14-033C
 For Quarter Ending: 09/30/2020

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	\$48,849,478.00	
4.	Award Type	Resilience Program Competitive Resilience Grant	
5.	Award Amount	\$146,548,432.00	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief of Procurement	
7.	Brief Description, Purpose and Rationale of Project/Program	The Long Slip Fill and Rail Enhancement project is a resilience project in response to Superstorm Sandy. This project involves the design, permitting and construction for the filling of Long Slip Canal to an elevation above the Federal Emergency Management Agency (FEMA) base flood elevation (BFE) as required, and the design, permitting and construction of six new electrified tracks serving ADA-accessible high-level boarding platforms and a passenger/rail personnel structure on the filled area.	
8.	Contract/Program Location	Adjacent to Hoboken Terminal and Yard	
9.	Amount Expended to Date	\$32,707,603.22	
10.	Amount Provided to other State or Local Entities	None.	
11.	Completion Status of Contract or Program	In Progress	
12.	Expected Contract End Date/Time Period	Phase 1 projected completion date: December 2023	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Preparation and submission of monthly Form E. Preparation and submission of quarterly report to State Treasurer. RSM obtained and reviewed project documentation in conjunction with our fraud risk assessment.	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	RSM requested an initial round of documents to facilitate our fraud risk assessment, as well as for the purposes of developing an understanding of the project. This information included but was not limited to: -Grant agreement -Contracts -Procurement / bidding documentation -Invoices / pay applications -Change orders / Proposed changes -Vendor contact information -Weekly project meeting minutes During the quarter, RSM reviewed this information to aid in the development of our testing plan, to be executed upon approval by NJT.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	None. We have performed preliminary review of tabulations of construction (18-035X), design (13-001C, and 16-006), and project management (18-003) and will conduct detailed inspections after approval of our fraud risk assessment.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	None. We have performed preliminary review of project invoices and pay applications, and will conduct detailed inspections after approval of our fraud risk assessment.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Our meetings with key stakeholders, attendance at bi-weekly project status meetings, and requests and review of source documents all contribute to preventing and detecting waste, fraud and abuse.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	During this period, the State of New Jersey was under a State of Emergency related to the spread of COVID-19. Our communications and attendance at meetings was conducted virtually. Through our monitoring procedures, the project does not appear to have been affected.	
21.	Provide details on any other items of note that have occurred in the past quarter	None.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None.	

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C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	<p>For the period July 1, 2020 - September 30, 2020, the following individuals have expended a total of 413.0 hours, with no reimbursement of expenses requested.</p> <p>Name: Shawn Dahl David Luker Brian Tracy Matthew Blondell Chris Fitzgerald Duffy Leidner Stephanie Tantillo Luckie Kaufman Ryan Daly Anneke Monster Anthony Rizzo Chris Gums Sam Grosoff Sean McCarter Shelby Howell Devann Marchand Tom Alonso Michael Mudalel (MBE subcontractor) Marcelo Fuentes (MBE subcontractor) Brandon Smith (MBE Subcontractor)</p>	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor:	RSM US LLP
Name of Report Preparer:	David Luker
Signature:	
Date:	24-Dec-20