

Firm Name: **EisnerAmper LLP**
Work Authorization No. 3
IOM Services for the Substations Program
Contract No. 14-033B

Engagement: **NJ Transit P.O. #B-51389-003**
 For Quarter Ending: **03/31/2021**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

| No. | Recipient Data Elements | Response | Comments |
|---------------------------------|---|---|---|
| A. General Info | | | |
| 1. | Recipient of funding | New Jersey Transit Corporation | |
| 2. | Federal Funding Agency? (e.g. HUD, FEMA) | Federal Transit Administration | |
| 3. | State Funding (if applicable) | Not applicable | |
| 4. | Award Type | FTA-Public Transportation Emergency Relief Program | |
| 5. | Award Amount | Gannett Fleming: \$9,694,730 (Design / Engineering) Northeast Remsco: \$9,825,162 (ROC Substation) John O'Hara Company: \$18,060,638 (Henderson St. Substation) DMR Construction: \$19,899,184 (Hoboken Depot Substation) Naik Consulting Group: \$5,807,005 (Construction Management for Hoboken and Henderson Substations) PKF Mark III, Inc.: \$20,657,858.61 (Bay Head Yard Substation) | The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132). |
| 6. | Contract/Program Person/Title | Jignasa Desai-McCleary, Chief, Procurement & Support Services | |
| 7. | Brief Description, Purpose and Rationale of Project/Program | Provide Integrity Oversight Monitoring Services for the Substations Program, including: - NJT00766, Henderson St. Substation Repair: This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - NJT00768, Bay Head Yard Substation Repair: This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - NJT00762, Hoboken Terminal House Power Repair: This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - NJT01113, ROC Unit Substation: This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - Construction Management (CM) Services: NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations. | |
| 8. | Contract/Program Location | Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center. | |
| 9. | Amount Expended to Date | Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$ 20,614,912.80 Henderson Substation (NJT00766) - \$20,769,518.77 Bayhead Substation (NJT00768) - \$6,101,992.08 ROC Unit Substation (NJT01113) - \$9,332,698.85 | Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope. |
| 10. | Amount Provided to other State or Local Entities | None | |
| 11. | Completion Status of Contract or Program | In progress | |
| 12. | Expected Contract End Date/Time Period | September 2021 | |
| B. Monitoring Activities | | | |

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| 13. | If FEMA funded, brief description of the status of the project worksheet and its support. | Not applicable | |
| 14. | Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed) | <p>Participated, via conference call, Pencil payment application reconciliation #18 related to contract 17-053X (Henderson) on January 7, 2021. Participated, via conference call, progress meeting #21 on January 12, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #51 and #49 on January 13, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #34 on January 21, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #22 on January 26, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #52 and #50 on February 3, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, progress meeting #35 on February 4, 2021 related to contract 16-035X (ROC). Participated, via conference call, Pencil payment application reconciliation #19 related to contract 16-049X (Hoboken) on February 5, 2021. Participated, via conference call, progress meeting #23 on February 9, 2021 related to contract 17-026X (Bay Head). Held discussion with NJT IAD and Talson regarding draft Naik (17-007) DBE memo on February 11, 2021. Participated, via conference call, progress meeting #36 on February 18, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #37 on March 4, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #25 on March 9, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #54 and #52 on March 10, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Participated, via conference call, on schedule update meeting on March 11, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #38 on March 18, 2021 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #26 on March 23, 2021 related to contract 17-026X (Bay Head). Participated via conference call, progress meeting #55 and #53 on March 24, 2021 related to contracts 17-053X (Henderson) and 16-049X (Hoboken). Held a call on January 21, 2021 with NJT IAD and NJT CP regarding document requests. Held a call with DMR on February 22, 2021 regarding document requests in connection with contract 16-049X (Hoboken). Completed annual NJT safety training. Conducted a site visit for contract 17-026X (Bay Head) on February 24, 2021 to observe project status. Conducted a site visit for contract 16-035X (ROC) on March 25, 2021 to observe project status.</p> | |
| 15. | Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program. | <p>Received and reviewed meeting minutes for progress meetings #50 through #55 related to Contract No. 17-053X (Henderson). Received and reviewed meeting minutes for progress meetings #48 through #53 related to Contract No. 16-049X (Hoboken). Received and reviewed meeting minutes for progress meetings #32 through #38 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #21 through #26 related to Contract No. 17-026X (Bay Head). Received and reviewed meeting minutes for Naik safety kick-off meeting for Henderson project (17-007 / 17-053X). Received various DBE forms for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik). Received various payment applications for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head), 17-007 (Naik) and 13-006A (Gannett Fleming). Received NPC approval packages related to Contract 16-035X (ROC). Received various construction documents including Submittal logs, RFI logs, look ahead schedules and daily inspection reports, safety plan and soil related documents for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).</p> | |

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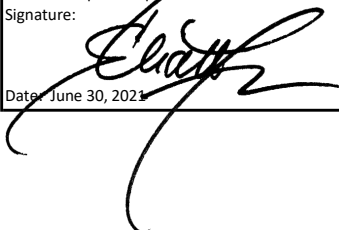
| No. | Recipient Data Elements | Response | Comments |
|-------------------------|---|---|----------|
| 16. | Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements. | Performed invoice testing related to Contract No. 13-006A (Gannett Fleming), 16-049X (Hoboken), 17-007 (Naik), 16-035X (ROC), 17-053X (Henderson). Attended construction progress meetings for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Conducted DBE monitoring procedures. Conducted grant management reconciliation related to Contract No. 17-053X (Henderson). Performed monitoring around Naik's CM procedures related to Contract No. 17-007 (Naik). | |
| 17. | Have payment requisitions in connection with the contract/program been reviewed? Please describe | Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X), PKF Marks (Contract No. 17-026X), Naik (Contract No. 17-007) and Gannett Fleming (Contract No. 13-006A) were provided; testing for a selection of these invoices is in progress and ongoing. | |
| 18. | Description of quarterly activity to prevent and detect waste, fraud and abuse. | Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik). | |
| 19. | Provide details of any integrity issues/findings | None noted | |
| 20. | Provide details of any work quality or safety/environmental/historical preservation issue(s). | On March 9, 2020, Governor Phil Murphy declared a State of Emergency and a Public Health Emergency, effective immediately, to ramp up New Jersey's efforts to contain the spread of COVID-19. This order was extended on April 7, May 6, June 4, July 2, August 1, August 27, September 25, October 24, November 22 and December 21, 2020. This order was further extended in 2021 on January 19, February 17 and March 17. Based on our communications and monitoring, this does not appear to have affected the project during this quarter. | |
| 21. | Provide details on any other items of note that have occurred in the past quarter | For contract no. 16-035X (ROC), relocation of underground water mains previously in conflict with the location of foundation piles was completed on February 27, 2021 and construction progress has continued. For contract no. 17-026X (Bay Head), on May 11, 2020, the Borough of Bay Head filed an Order to Show Cause and Complaint in Ocean County Superior Court. On May 15, 2020, NJ TRANSIT was served with an Order, preliminarily enjoining NJ TRANSIT from performing any construction or land disturbance associated with the construction of Bay Head Substation. Effective on August 31, 2020, NJ TRANSIT provided a suspension of work notification for this contract. On November 6, 2020, the injunction was lifted, and, on November 9, 2020, PKF Mark III, Inc. resumed project activities. On March 22, 2021, the Plaintiffs filed a summary judgment motion, seeking a new order prohibiting construction on the Project, however construction activities continue pending a review of the summary judgment motion by the court. | |
| 22. | Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters | Not applicable | |
| C. Miscellaneous | | | |
| 23. | Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review | See attached hours summary. | |
| 24. | Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program. | None noted | |

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|-----|-------------------------|----------|----------|

| | |
|----------------------------|---|
| Name of Integrity Monitor: | EisnerAmper LLP |
| Name of Report Preparer: | Elliott C. Lee |
| Signature: |  |
| Date: | June 30, 2021 |

State of New Jersey
Department of Treasury
Work Authorization No. 3
IOM Services for the Substations Program
Rev. 1 – Substation Program: Construction Management Services
Contract No. 14-033B
NJ Transit P.O. #B-51389-003

Attachment for Question #23

| Professional | Hours |
|---|---------------|
| David A. Cace | 2.20 |
| Elliott Lee | 34.30 |
| Timothy Van Noy | 18.80 |
| Maureen Egan | 4.40 |
| Craig Mann | 38.85 |
| Nancy Pham | 93.20 |
| Jeannelle Robinson | 11.80 |
| Marisol Gonzalez | 45.05 |
| Ken Brzozowski* | 3.00 |
| Courtney Meizinger* | 36.50 |
| Stephanie Blue* | 8.44 |
| Quinn Allen* | 53.75 |
| Subtotal_IOM Services for the Substations Program | 350.29 |
| David A. Cace | 2.90 |
| Elliott Lee | 18.20 |
| Timothy Van Noy | 2.40 |
| Craig Mann | 3.50 |
| Nancy Pham | 47.10 |
| Ken Brzozowski* | 9.00 |
| Courtney Meizinger* | 18.00 |
| Quinn Allen* | 15.50 |
| Stephanie Blue* | 2.57 |
| Subtotal_Rev. 1 – Substation Program: Construction Management Services | 119.17 |
| Total | 469.46 |

* Member of EisnerAmper's DBE firm.