

Firm Name: **EisnerAmper LLP**

**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Contract No. 14-033B**

Engagement: **NJ Transit P.O. #B-51389-003**

For Quarter Ending: **03/31/2022**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	<b>Gannett Fleming:</b> \$9,694,730 (Design / Engineering) <b>Northeast Remsco:</b> \$9,825,162 (ROC Substation) <b>John O'Hara Company:</b> \$18,060,638 (Henderson St. Substation) <b>DMR Construction:</b> \$19,899,184 (Hoboken Depot Substation) <b>Naik Consulting Group:</b> \$5,807,005 (Construction Management for Hoboken and Henderson Substations) <b>PKF Mark III, Inc.:</b> \$20,657,858.61 (Bay Head Yard Substation)	The initial authorized amount for Task Order Assignment No. 1 SSRP Contract 13-006A was \$9,694,730. Subsequently, three change orders were issued to Contract 13-006A TOA No. 1SSRP totaling \$2,743,373 (Change Order #1 - \$1,595,679, Change Order #2 - \$130,562 and Change Order #3 - \$1,017,132).
6.	Contract/Program Person/Title	Ronald Hovey, Chief Procurement Officer (Acting)	
7.	Brief Description, Purpose and Rationale of Project/Program	Provide Integrity Oversight Monitoring Services for the Substations Program, including: - <b>NJT00766, Henderson St. Substation Repair:</b> This project involves the design and construction of the replacement Henderson Street Substation in Hoboken, including switch-gear, transformers and all other associated power cable, devices and controls. - <b>NJT00768, Bay Head Yard Substation Repair:</b> This project involves the design and construction of a replacement Bay Head Yard Substation including switch-gear, transformers and all other associated power cable, devices, and controls. - <b>NJT00762, Hoboken Terminal House Power Repair:</b> This project involves the design and construction for the repair and replacement of the Hoboken Terminal House Power Supply (a.k.a. "Depot Substation") including switch gear, transformers and associated relays, circuit breakers, power cables, electrical system component, and ancillary equipment. - <b>NJT01113, ROC Unit Substation:</b> This project includes construction of a replacement Substation to be built above Design Flood Elevation and integration of emergency power equipment to service the Rail Operations Center (ROC). - <b>Construction Management (CM) Services:</b> NAIK Consulting Group (NAIK) was retained CM services for two (2) substations projects, Hoboken Depot –Terminal and Henderson Street Substations.	
8.	Contract/Program Location	Henderson Street Substation, Bay Head Yard Substation, Depot Substation, Rail Operations Center.	

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9.	Amount Expended to Date	Hoboken Terminal House Power Repair (Depot) (NJT00762) - \$27,386,597.85 Henderson Substation (NJT00766) - \$25,303,357.18 Bayhead Substation (NJT00768) - \$16,438,471.85 ROC Unit Substation (NJT01113) - \$13,679,983.00	Note: Expenditure figures in the report are presented for the entire Substations Program, whereas previously they were limited to the contracts within IOM's scope.
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	March 2023	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Participated, via conference call, progress meeting #57 on January 6, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #46 on January 11, 2022 related to contract 17-026X (Bay Head). Held call with NJ Transit (IAD and OBD) on January 13, 2022 regarding Contract No. 17-007 (Naik) DBE draft memo. Conducted a site visit for contract 17-026X (Bay Head) on January 18, 2022 to observe project status. Participated, via conference call, progress meeting #58 on January 20, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #47 on January 25, 2022 related to contract 17-026X (Bay Head). Attended annual NJ Transit safety training on February 1, 2022. Participated, via conference call, progress meeting #48 on February 8, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, to project status update call on February 10, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #59 on February 17, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #49 on February 22, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, to project status update call on February 24, 2022 for contracts No. 16-049X (Hoboken) and 17-053X (Henderson). Participated, via conference call, progress meeting #60 on March 3, 2022 related to contract 16-035X (ROC). Participated, via conference call, progress meeting #50 on March 8, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #61 on March 17, 2022 related to contract 16-035X (ROC). Held call with NJ Transit CP on March 18, 2022 regarding reconciliation of grant funding through 6/30/2021. Participated, via conference call, progress meeting #51 on March 22, 2022 related to contract 17-026X (Bay Head). Participated, via conference call, progress meeting #62 on March 31, 2022 related to contract 16-035X (ROC). Conducted a review of the NJ Transit CP4 Manual on March 31, 2022.	

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No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Received and reviewed meeting minutes for progress meetings #56-61 related to Contract No. 16-035X (ROC). Received and reviewed meeting minutes for progress meetings #45 through #51 related to Contract No. 17-026X (Bay Head). Received various payment applications for Contracts No. 17-007 (Naik), 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head). Received NPC logs and approval packages related to Contract 17-053X (Henderson) and 16-049X (Hoboken). Received various DBE forms for Contract No. 17-026X (Bay Head). Received various construction documents including Submittal logs and work plans, RFI logs, look ahead /project schedules and daily inspection reports, site sign in sheets and NPC logs for Contracts No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head). Received various procurement documents related to Contract No. 17-026X (Bay Head). Received various expenditure detail related to Contract No. 16-049X (Hoboken), 17-053X (Henderson), 16-035X (ROC) and 17-026X (Bay Head).	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Performed invoice testing related to Contract No. 13-006A (Gannett Fleming), 17-007 (Naik), 17-026X (Bay Head), 17-053X (Henderson) and 16-049X (Hoboken). Attended construction progress meetings and performed fieldwork construction monitoring procedures for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC) and 17-026X (Bay Head). Performed grant management monitoring procedures related to Contracts No. 17-026X (Bay Head), 17-053X (Henderson), 16-035X (ROC) and 16-049X (Hoboken). Performed monitoring procedures related to NPCs for Contracts No. 17-053X (Henderson) and 16-049X (Hoboken). Conducted DBE monitoring procedures including interviewing various DBE subcontractors.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Payment requisitions for DMR (Contract No. 16-049X), O'Hara (Contract No. 17-053X), NE Remsco (Contract No. 16-035X), PKF Marks (Contract No. 17-026X), Gannett Fleming (Contract No. 13-006A) and Naik (Contract No. 17-007) were provided; testing for a selection of these invoices is in progress and ongoing.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Ongoing monitoring procedures in connection with the construction phase for Contracts No. 17-053X (Henderson), 16-049X (Hoboken), 16-035X (ROC), 17-026X (Bay Head) and 17-007 (Naik).	
19.	Provide details of any integrity issues/findings	None noted	

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No.	Recipient Data Elements	Response	Comments
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	Note noted	
21.	Provide details on any other items of note that have occurred in the past quarter	Note noted	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None noted	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott C. Lee
Signature:	
Date:	June 30, 2022

**State of New Jersey**  
**Department of Treasury**  
**Work Authorization No. 3**  
**IOM Services for the Substations Program**  
**Rev. 1 – Substation Program: Construction Management Services**  
**Contract No. 14-033B**  
**NJ Transit P.O. #B-51389-003**

Attachment for Question #23

<b>Professional</b>	<b>Hours</b>
David A. Cace	0.25
Elliott Lee	12.25
Timothy Van Noy	16.15
Craig Mann	104.95
Nancy Pham	31.70
Brooke DiMarinis	11.00
Marisol Gonzalez	52.80
Ken Brzozowski*	4.00
Sasha Smith*	38.95
Tad Creamer*	38.75
Stephanie Blue*	12.00
Sara Harbinson*	11.00
<b>Subtotal_IOM Services for the Substations Program</b>	<b>333.80</b>
David A. Cace	1.05
Elliott Lee	3.00
Timothy Van Noy	2.50
Craig Mann	14.00
Nancy Pham	0.10
Brooke DiMarinis	9.70
Sasha Smith*	0.25
Stephanie Blue*	0.00
Sara Harbinson*	0.75
<b>Subtotal_Rev. 1 – Substation Program: Construction Management Services</b>	<b>31.35</b>
<b>Total</b>	<b>365.15</b>

\* Member of EisnerAmper's DBE firm.