

Firm Name: EisnerAmper LLP

Work Authorization No. 2 Hudson-Bergen Light Rail (HBLR) Repair and Recovery and Locally Prioritized Resilience (NJ-44-X003)

Engagement: Integrity Oversight Monitoring Contract 14-033B

For Quarter Ending: 12/31/2016

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	Not applicable	
4.	Award Type	FTA-Public Transportation Emergency Relief Program	
5.	Award Amount	Total Project: \$44,904,852 EisnerAmper: \$692,325	
6.	Contract/Program Person/Title	Amy B. Herbold - Deputy Executive Director (Interim Procurement Officer)	
7.	Brief Description, Purpose and Rationale of Project/Program	<p>The Hudson-Bergen Light Rail Repair and Recovery and Locally Prioritized Resilience is comprised of the following scope of work:</p> <ul style="list-style-type: none"> - Sandy DMG. 05 HBLR 4 Track & Row: Repair/replacement of damaged track components (rail resurfacing, lubricators, heaters, drainage swales, inlets, culverts and ballast washout and related activities. - Sandy DMG. 05 HBLR 4 Vehicle Base Facility: Replacement of damaged yard switch machines, installation of storage container for critical parts, auxiliary power repairs, and switch heater repairs, purchase of Wheel Truing Machine and installation of VBF, and the purchase of off site wheel truing services. - Sandy DMG. 05 HBLR 4 Signals and Communications: Replacement of mainline switches, impedance bond boxes, repairs at grade crossings, replacement of communication components, installation of Mill Creek CIH and testing and replacement of signal cable. - Sandy DMG. 05 HBLR 4 Traction Power/Station Dist. Power: Testing and replacement of Auxiliary Power Cable, repair Traction Power Cables and associated costs for design, project administration, construction, the purchase of necessary equipment and materials, and other related costs. 	
8.	Contract/Program Location	HBLR Line - Jersey City/Hudson County	
9.	Amount Expended to Date	Total Project: \$13,128,191 EisnerAmper: \$79,544	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	In progress	
12.	Expected Contract End Date/Time Period	12/31/2019	
B. Monitoring Activities			

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13.	If FEMA funded, brief description of the status of the project worksheet and its support.	Not applicable	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Prepared initial draft fraud risk assessment, monitoring program and associated budget. Held meetings with various TFC finance, procurement, project management and engineering personnel. Held a meeting with third party scheduling consultant (KMB Construction). Held a meeting with HNTB project management personnel. Held a meeting with NJT IAD regarding IAD audits performed in connection with HBLR. Held a meeting with NJT CP&P Program Manager.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Conducted reviews of various TFC PEPs at TFC offices, including discussions with TFC Project Manager. Obtained and reviewed various TFC procurement templates and forms and HBLR Resiliency Program Monthly Progress Reports for November 2016. Obtained and reviewed KMB invoices for CARP, MOW and Sandy work (9/30/16). Obtained and reviewed Cost Schedules as of 10/1/16 for Sandy work, listing of Hurricane Sandy Invoices from TFC to NJT as of 11-10-16.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Not applicable	

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17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Not applicable	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Submitted additional document requests, reviewed documents provided, conducted interviews with various TFC personnel.	
19.	Provide details of any integrity issues/findings	None noted	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None noted	
21.	Provide details on any other items of note that have occurred in the past quarter	None noted	

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22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None noted	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	See attached hours summary.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	<p>The work currently being performed by TFC in connection with Superstorm Sandy Repair and Resiliency Program for the Hudson Bergen Light Rail ("HBLR") is under the provisions of the original Design Build Operate and Maintain Contract ("DBOM") executed in 1996 as D/B Change Orders via a Notice of Proposed Change ("NPC"). As of December 31, 2016, TFC advises that no DBE goals have been communicated by NJT and TFC has not prepared or submitted any Prime Contractor Monthly DBE Payment Report - Form E to NJT's Office of Business Development ("OBD") in connection with the HBLR Superstorm Sandy NPCs.</p> <p>OBD has stated that TFC was officially advised of the goal (15%) as of March 31, 2017 and, as a result, TFC has not yet had opportunity to develop and submit a DBE Plan for upcoming NPCs.</p>	

Name of Integrity Monitor:	EisnerAmper LLP
Name of Report Preparer:	Elliott Lee
Signature:	
Date:	4/3/2017

State of New Jersey
Department of Treasury
Integrity Oversight Monitoring Reporting Model
Attachment for Question #23

Professional	Hours
David A. Cace	4.50
Robert Bright	1.50
Elliott Lee	58.80
Timothy Van Noy	55.00
Maureen Egan	46.95
Nicole Griffin	28.00
Tariq Boston	2.00
Marisol Gonzalez	8.00
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